

# The Chemistry of Colorants

## *Dyes & Pigments*

28 June 2018

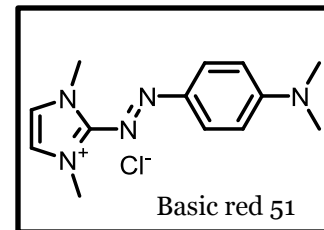
# The Chemistry of Colorants

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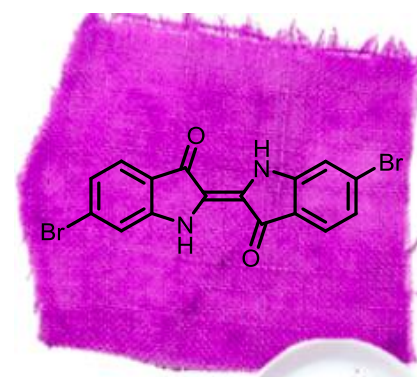
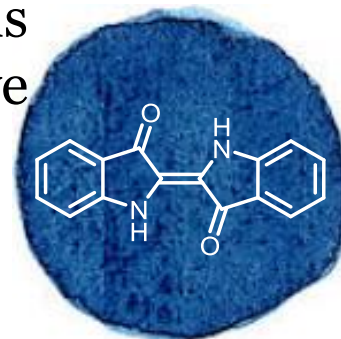
28 June 2018

1. (Brief) History of colorants
2. Classifications
3. Physical chemistry
4. Types of dyes and pigments
5. Eight modern research examples
6. Why you might care/current industry

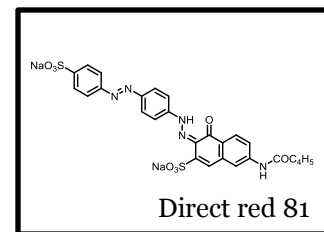
# History of dyes and pigments



- Dyes have been derived from plants, animals, and minerals
  - Indigoid dye represents the arguably oldest natural dye
    - From plant *Indigofera tinctoria*
    - Used in India for ~4000 years
  - Woad was another source of blue in Europe
    - From *Isatis tinctoria*
    - Used in Bronze Age Europe (2500-800 BC)
  - Tyrian purple produced the royal color
    - From shell fish *Purpura* and *Murex*
    - Made in Tyre and Sidon since 800 BC
    - Produced an awful smell
    - Only source of purple for thousands of years

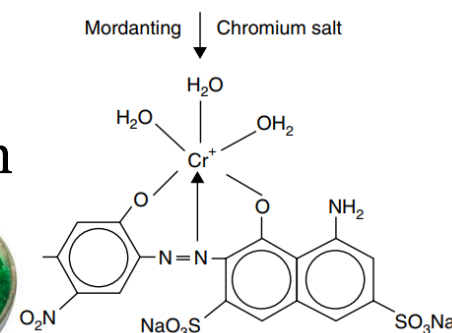
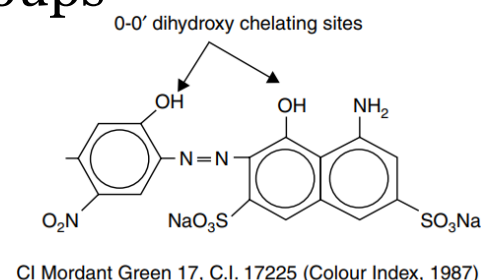
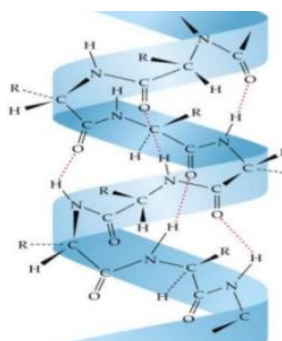


# History of dyes and pigments

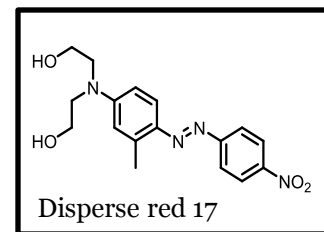


- Many natural dyes have a low chemical affinity to textiles
- It was a multistep process to prepare fibers:

1. A mordant (metal salt) is used to impregnate the fibers
  - Metal ion complexes with functional groups
  - Often Al, Fe, Sn, Cr, Cu
  - Commonly used were potash alum  $[KAl(SO_4)_2 \cdot 12H_2O]$  and iron sulfate  $[FeSO_4 \cdot 7H_2O]$  and  $(SnCl_2)$
  - Treatment of fabric occurred often in metal vats or with iron nails present
2. The dye was introduced to coordinate with the metal-impregnated fabric



# Moving away from natural sources

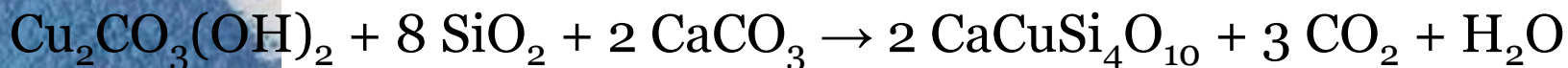


- First two synthetic pigments developed:

1. White lead, basic lead carbonate [2PbCO<sub>3</sub>·Pb(OH)<sub>2</sub>]
  - Described first by Theophrastus of Eresos (~300 BC)
  - Created by combining lead and acetic acid in the presence of CO<sub>2</sub>



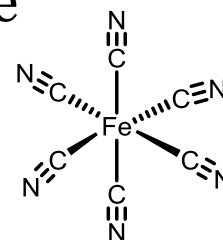
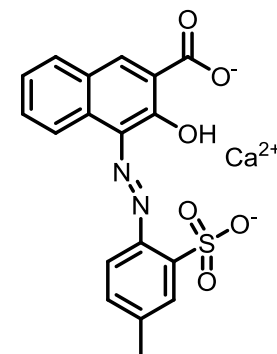
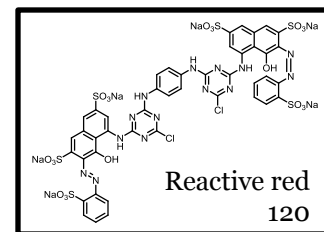
2. Blue Frit, Egyption Blue [CaCuSi<sub>4</sub>O<sub>10</sub>]
  - First evidenced in Egypt (~3000 BC)
  - Created by heating together quartz sand, copper, calcium carbonate, and alkali from ash up to 800-1,000 °C



# Moving into Modernity

## • Prussian Blue

- First truly modern synthetic pigment arising as a result of a deliberately conducted chemical reactions
- Produced by Diesbach in Berlin in 1704 trying to produce a lake pigment (metal coordinated natural pigment)
- Created originally by mixing potash, iron sulfate, and *blood*
- Cyanide in Greek means “dark blue”





# Moving into Modernity

• By the early 19<sup>th</sup> century, synthetic blue colorants existed:

• French ultramarine

• Synthesized-1826

•  $\text{Al}_6\text{Na}_8\text{O}_{24}\text{S}_3\text{Si}_6$

• Cobalt blue

• Synthesized-1802 (Thenard)

•  $\text{CoAl}_2\text{O}_4$

• Cerulean blue

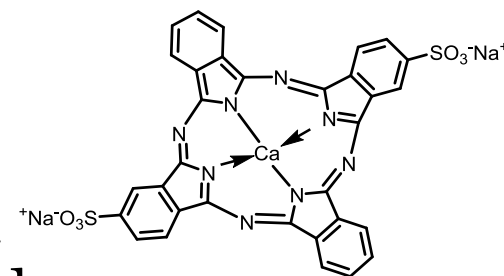
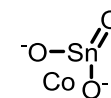
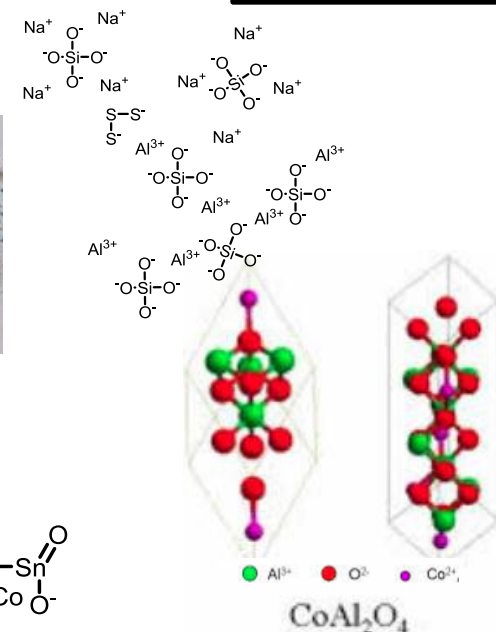
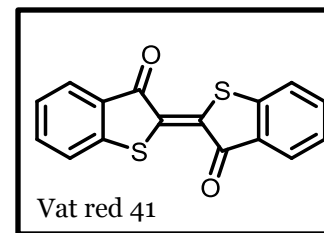
• Discovered 1789 (Hopfner)

•  $\text{CoO}_3\text{Sn}$

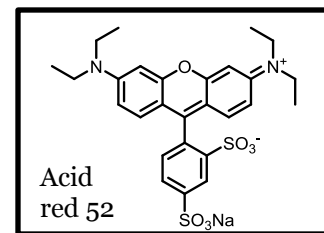
• Phthalo blue (CuPc)

• Discovered 1927

• Soon in the 20<sup>th</sup> century, reddish-purples, blues, violets, greens, and red dyes started replacing more expensive natural dyes



# Dyes and Pigments



## Dyes

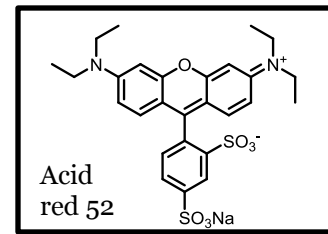


## Pigments





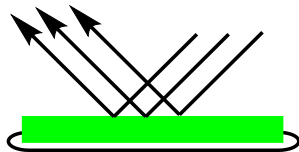
# Dyes and Pigments



## Dyes

Dyes are required to solvate during the application process; they often also have some affinity for the material being colored.

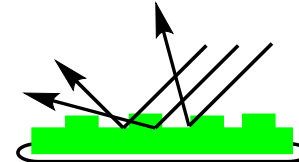
Selectively absorb light due to specific chemical nature of dye



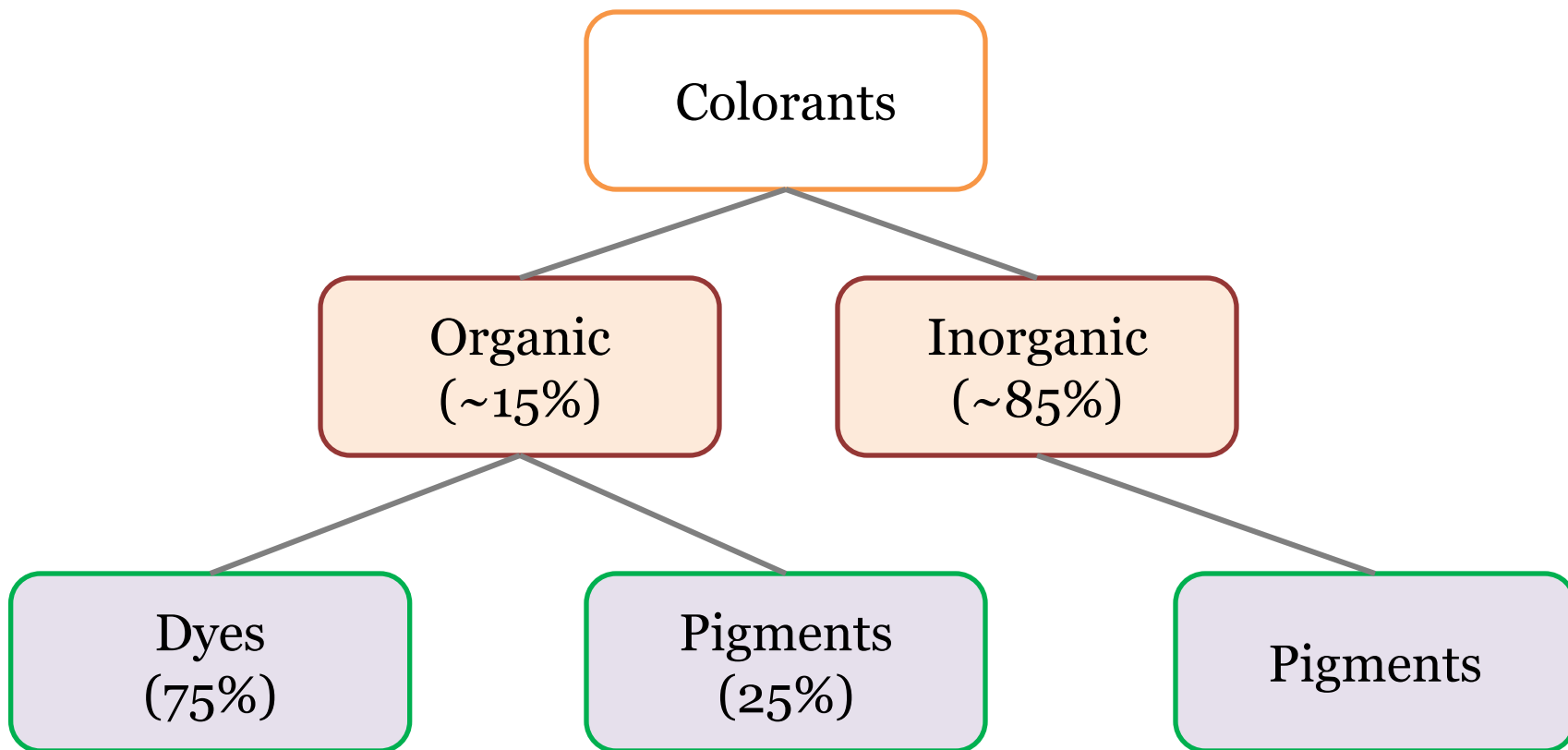
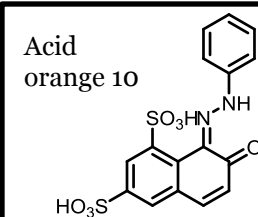
## Pigments

Pigments are specific colorants composed of particles insoluble in the application medium; they are colored, colorless, or fluorescent and can be organic or inorganic, finely divided solids

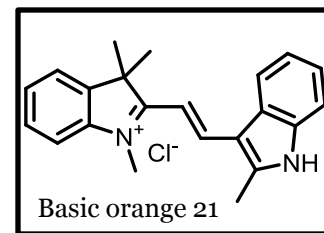
Selectively absorb and/or scatter light due to pigment & material



# Dyes and Pigments



# The Chemical Physics of Colorants



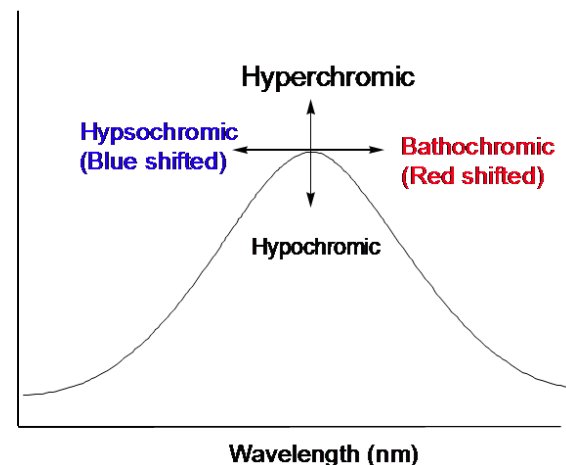
- Industrial value of dyes depends on *wavelength* and *intensity* of the absorption band as a function of dye concentration

*Lambert–Beer law*

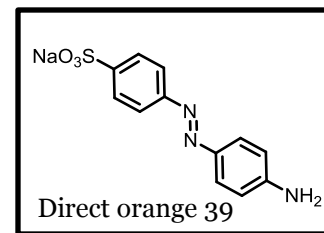
$$A = \log_{10} \frac{I_0}{I} = \epsilon l c$$

Intensity of incoming light  $\downarrow$   $I_0$   
 Absorbance  $\nearrow$   $A$   
 Intensity of transmitted light  $\rightarrow$   $I$   
 Path length  $\downarrow$   $l$   
 Molar extinction coefficient  $\uparrow$   $\epsilon$   
 Concentration  $\nwarrow$   $c$

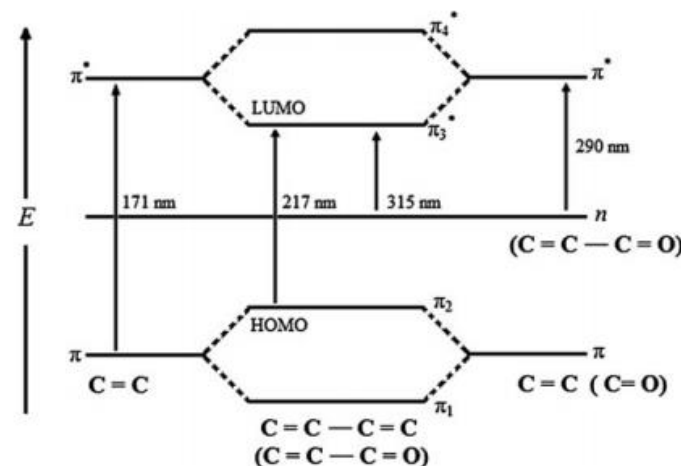
Technically important dyes display extinction coefficients in excess of  $10^4$ - $10^5 \text{ M}^{-1} \text{ cm}^{-1}$



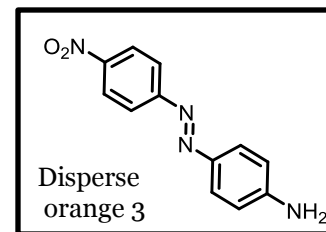
# The Chemical Physics of Colorants



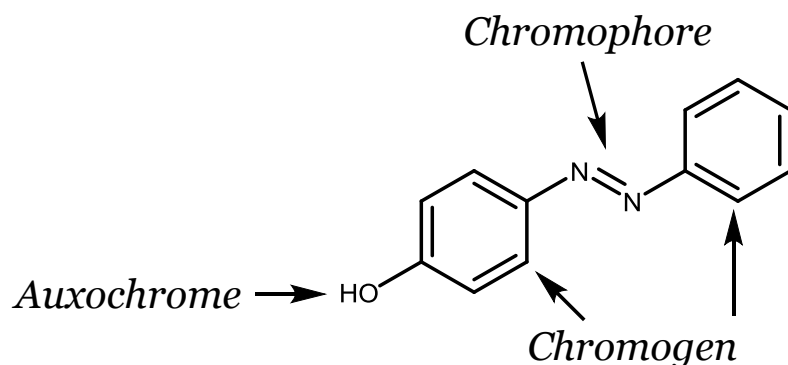
- Chromophores absorb light within the UV or visible range
  - *Examples:*  $\text{C}=\text{C}$ ,  $\text{C}\equiv\text{C}$ ,  $\text{C}=\text{O}$ ,  $\text{C}\equiv\text{N}$ ,  $\text{N}=\text{N}$ ,  $\text{NO}_2$
- Different transitions can occur with chromophores
  - $\pi \rightarrow \pi^*$ ,  $n \rightarrow \pi^*$  and  $n \rightarrow \sigma^*$
- Auxochromes are covalently saturated groups that change the wavelength or intensity of the absorption maximum
  - *Examples:*  $\text{NH}_2$ ,  $\text{OH}$ ,  $\text{SH}$ , halogens
  - Tend to increase wavelength and intensity through conjugation resonance
- Conjugated chromophores tend to increase wavelength and intensity
  - Create an additional set of HOMO/LUMO pairs and increase conjugation area
  - Energy difference between HOMO & LUMO is lowered leading to a bathochromic shift



# The Chemical Physics of Colorants



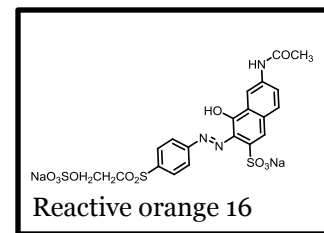
- Chromogens are chemical compounds that are colored or could be made colored by the attachment of a suitable substituent (increases the conjugated system size)
- Solvent yellow 7 (4-Hydroxyazobenzene) as an example:



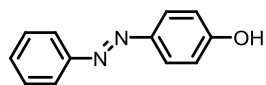
- Colorants possess several important traits
  - Absorbs light in the visible spectrum (400-700 nm)
  - Have at least one chromophore
  - Have a conjugated system
  - Exhibit resonance of electrons



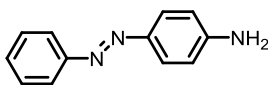
# The Chemical Physics of Colorants



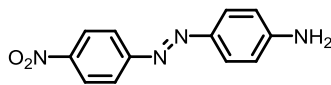
- General rules for adjusting color:
  - Adding electron-donating groups gives a bathochromic effect
  - Electron-donating and electron-accepting groups in conjugation provide an intense bathochromic effect
  - Increasing the number of electron-attracting groups conjugated with electron-donor groups has a bathochromic effect
  - The electron donating group are enhanced by adding alkyl groups to the N-atom



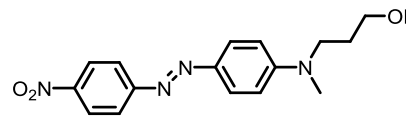
347nm



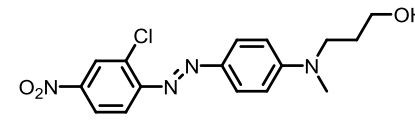
386nm



443nm



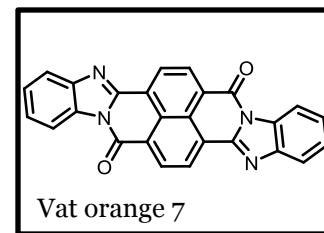
502nm



517nm



# Common Classes of Colorants



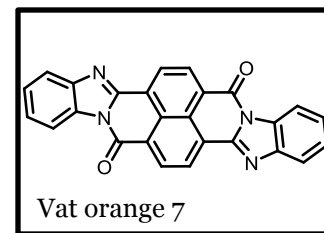
## • Dyes:

- Acid Dyes
- Anthraquinone Dyes
- Azo Dyes
- Basic Dyes
- Direct Dyes
- Disperse Dyes
- Indigoid Dyes
- Nitro and Nitroso Dyes
- Phthalocyanine Dyes
- Reactive Dyes
- Sulfur Dyes
- Vat Dyes

## • Pigments:

- Inorganic Pigments
- Organic Pigments

# Common Classes of Colorants



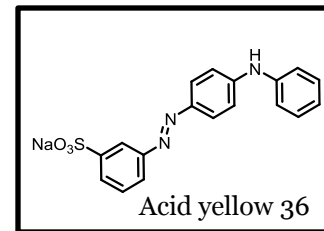
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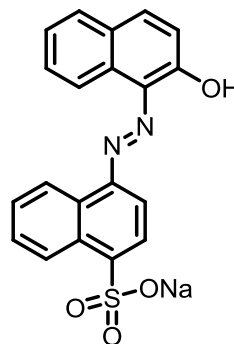
## • Pigments:

- Inorganic Pigments
- Organic Pigments

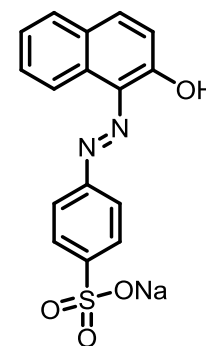
# Acid Dyes



- Generally comprised of organic sulfonic acids
- Commercially available as sodium salts; excellent water solubility
- Contains azo, anthraquinone, triphenylmethane, nitro, and nitroso chromophoric groups
- Used to dye many types of fiber:
  - Cotton
  - Polyester
  - Rayon
  - Wool
  - Silk

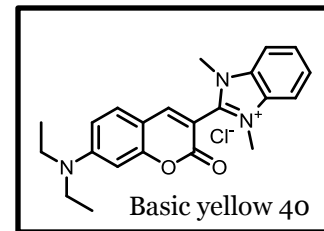


Acid red 88

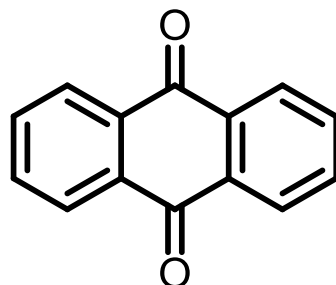


Acid orange 7

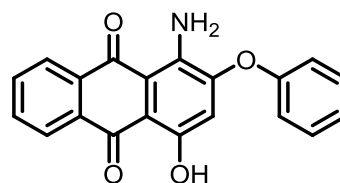
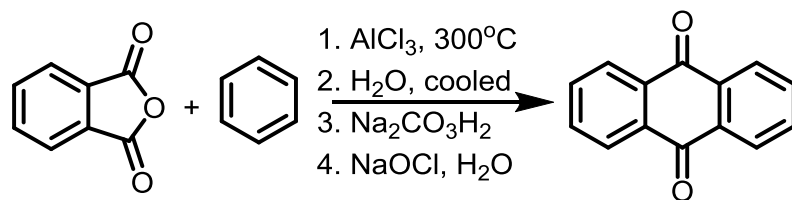
# Anthraquinone Dyes



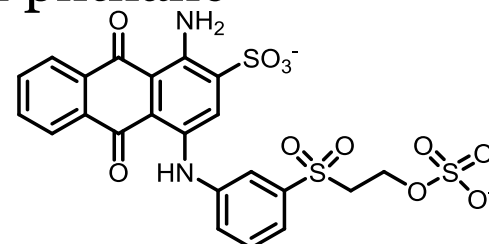
- Based around an anthraquinone central structure



- Some of the oldest types of dyes (found >4000 years ago)
- Good brightness and fastness
- Most synthetic substitution occurs at the  $\alpha$ -position with sulfonation or nitration
- For  $\beta$ -substituted dyes, synthesis usually starts from phthalic anhydride or benzene derivatives



Disperse red 60

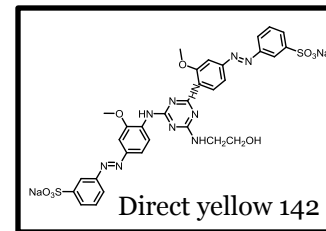


Reactive blue 19

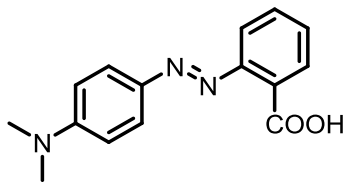


# Azo Dyes

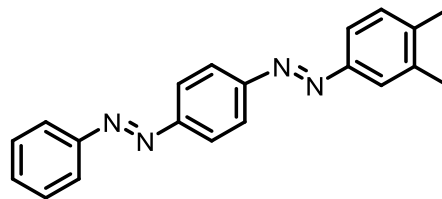
- Most common and most widely used; >60% of the dyes



- Often contain two aromatic groups in A & B, but must have at least one
- Exist in the trans form
- “A” often contains electron-accepting substituents while “B” contains electron-donating substituents



Acid red 2

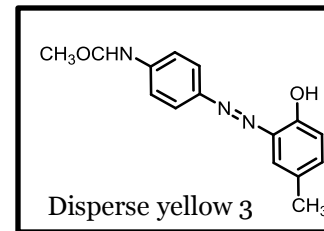


Disperse yellow 7

Shah M (2014) Effective treatment systems for azo dye degradation: a joint venture between physico-chemical & microbiological process. *Int J Environ Bioremediat Biodegradation* 2 (5):231–242

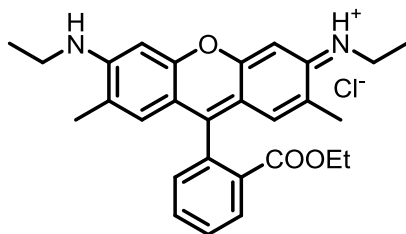
Gregory P (1990) Classification of dyes by chemical structure. In: Waring DR, Hallas G (eds) *The chemistry and application of dyes*. Plenum Press, New York, USA

# Basic Dyes

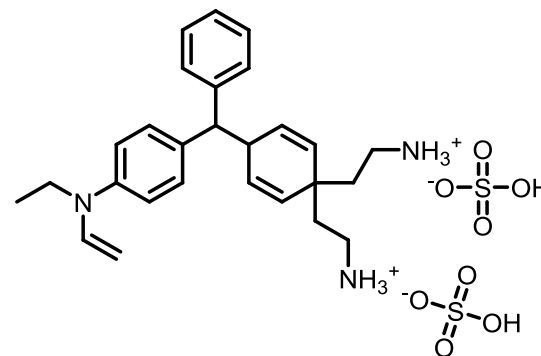


- Also called cationic dyes due to the presence of a positive charge, often caused by an ammonium cation
- Being water soluble, they were originally used for paper, silk and wool
- Generally low color fastness
  - Forms covalent bonds with acrylic fibers negating this issue

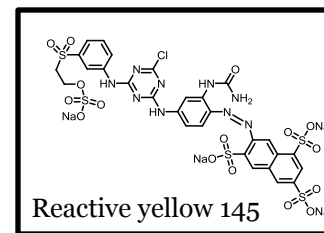
Basic red 1



Basic green 1

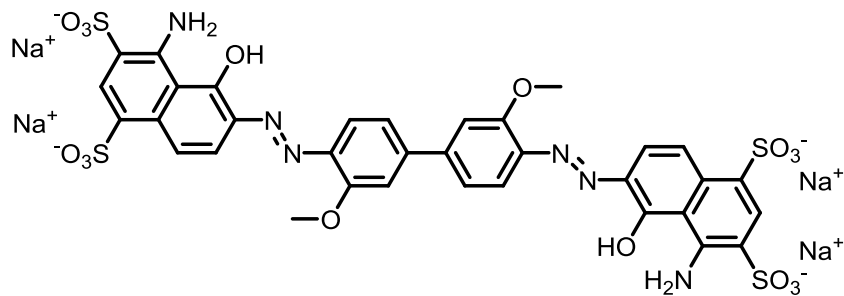


# Direct Dyes

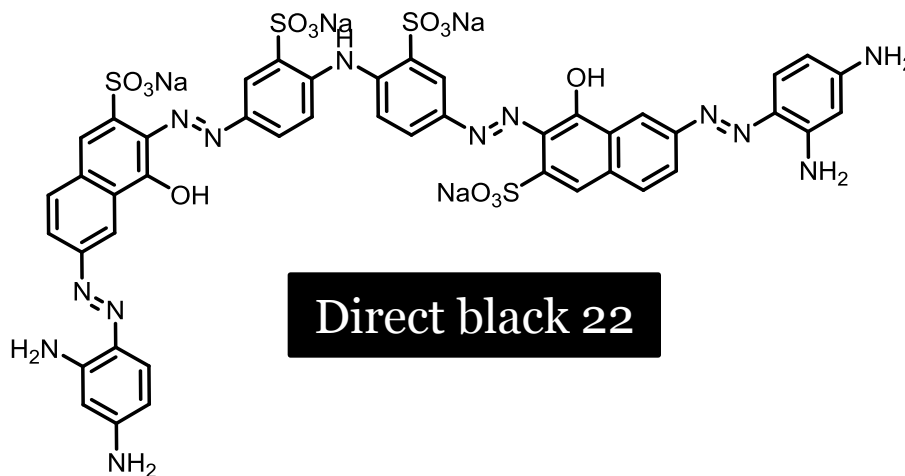


- Water-soluble and easily applied to cellulose
  - Anionic; forms bonds with cellulosic fibers
  - No mordant required
  - Applied from aqueous mixture containing an electrolyte
- Generally have high molecular masses
  - Promotes dye aggregation
  - Promotes substantivity to the fiber
- Also called substantive dye

Direct blue 1

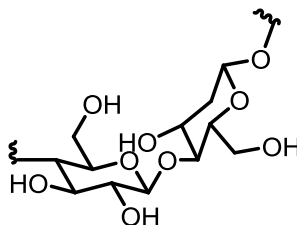
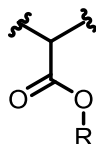
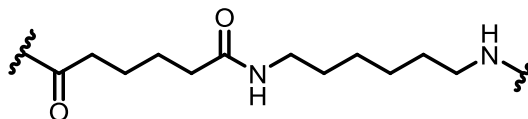
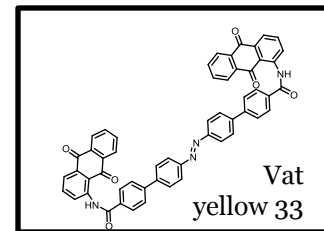


Direct black 22

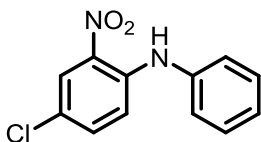


# Disperse Dyes

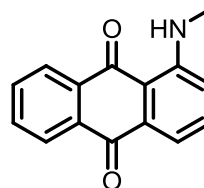
- Often contain azo, anthraquinone, and nitro groups
- Water-insoluble dyes with affinity for hydrophobic fibers
  - Nylon
  - Cellulose
  - Acrylic



Disperse yellow 26

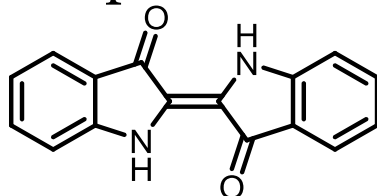


Disperse red 9

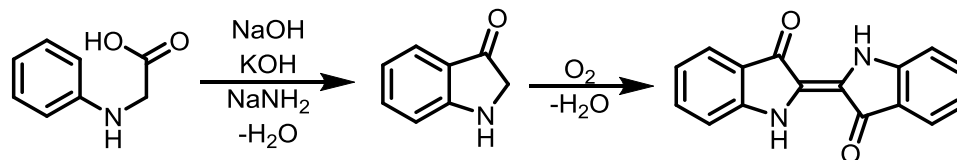


# Indigoid Dyes

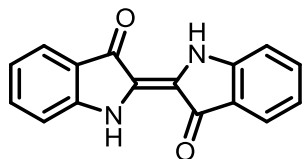
- All based on the organic compound—indigo



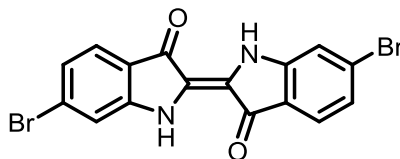
- Obtained from natural sources for ~5000 years until the 19<sup>th</sup> century
- One of the first natural molecules synthesized
- Pfligers's method is used to create most of the high quality indigo



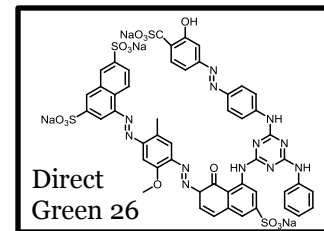
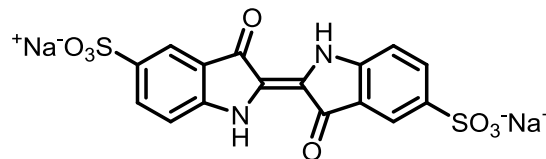
Indigo



Tyrian Purple



pH  
Below 11.4      Above 13.0  
Indigo      carmine

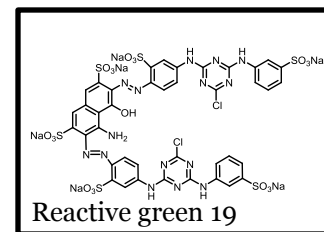


Głowacki ED, Voss G, Leonat L, Irimia-Vladu M, Bauer S, Sarıçiftçi NS (2012) Indigo and tyrian purple—from ancient natural dyes to modern organic semiconductors. *Isr J Chem* 52:1–12

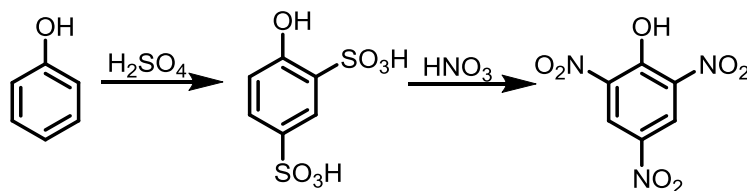
Ünlü M (2008) Indigo dyeing wastewater treatment by the membrane based filtration process, Master Thesis, Middle East Technical University, Ankara, Turkey



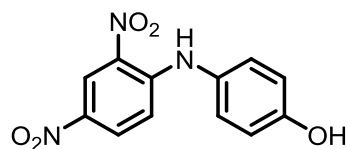
# Nitro and Nitroso Dyes



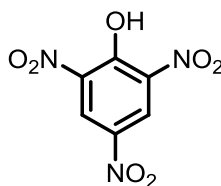
- Minor commercial importance
- Of interest for their small molecular structure
- Used in acid form to dye natural fibers such as silk or wool



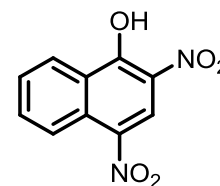
Disperse yellow 1



Picric Acid

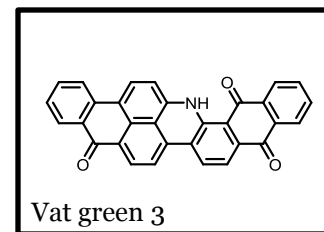


Acid yellow 24



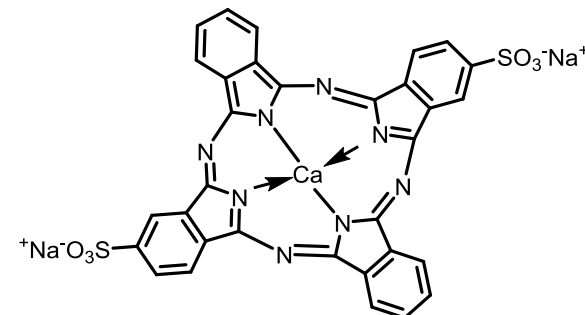
# Phthalocyanine Dyes

- A class of macrocyclic compounds possessing a highly conjugated electron system with intense near-IR absorption



- They have a number of unique properties:

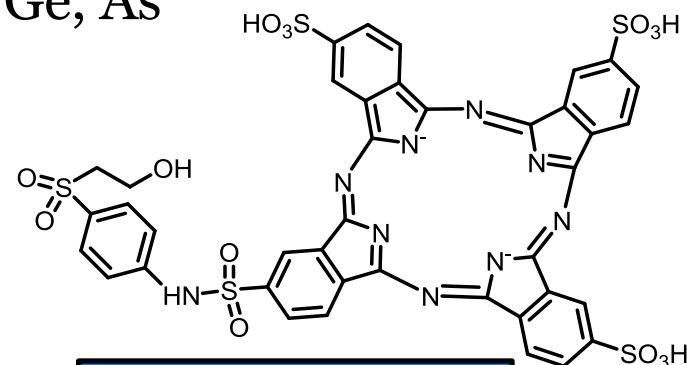
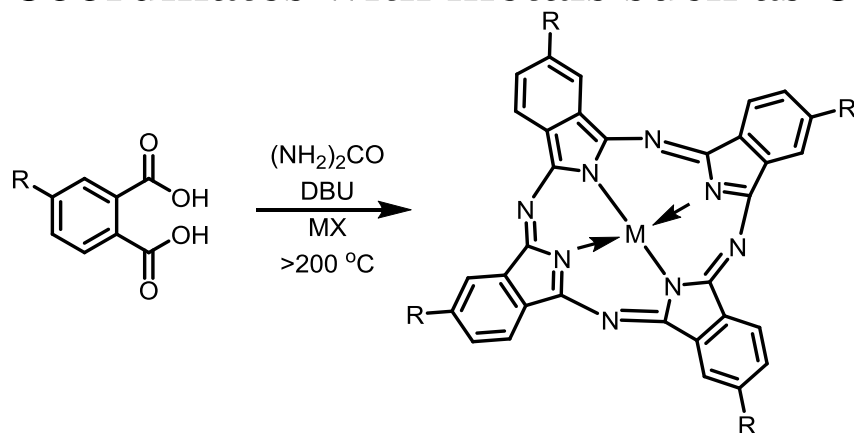
- Increased stability
- Diverse coordination properties
- Architectural flexibility



Direct Blue 86

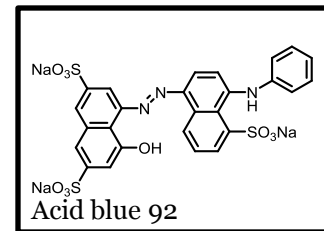
- Often intense color in 650-750 nm range

- Coordinates with metals such as Cu, Fe, Si, Ge, As



Reactive Blue 21

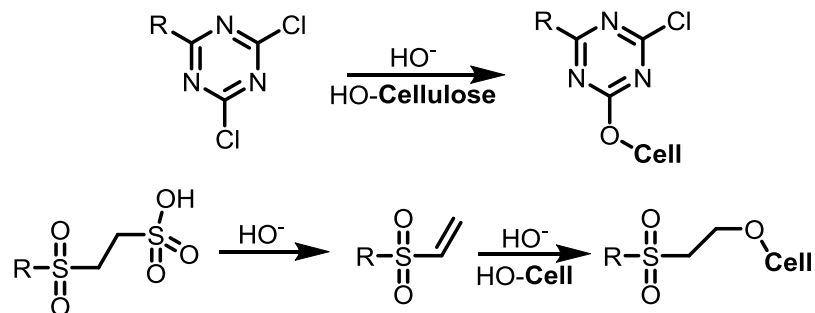
# Reactive Dyes



- Differ from other dyes because their molecules react to form covalent bonds with functional groups on the fibers

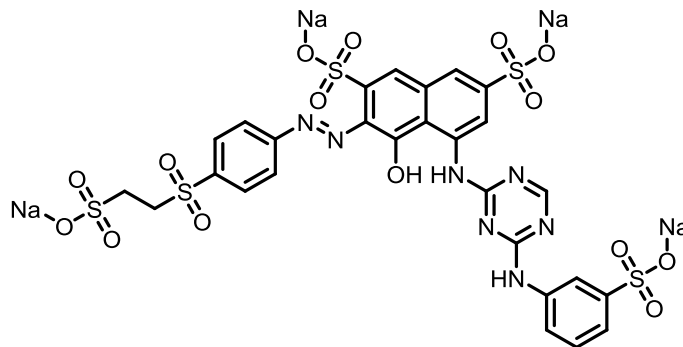
- Have exceptional qualities:

- High wet-fastness
- Brilliant
- Large range of hues



- Usually contain  $\text{-NH-}$ ,  $\text{-CO-}$ , or  $\text{-SO}_2\text{-}$  as linking group

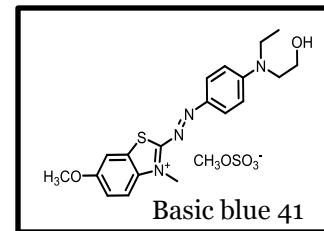
## Reactive Red 198



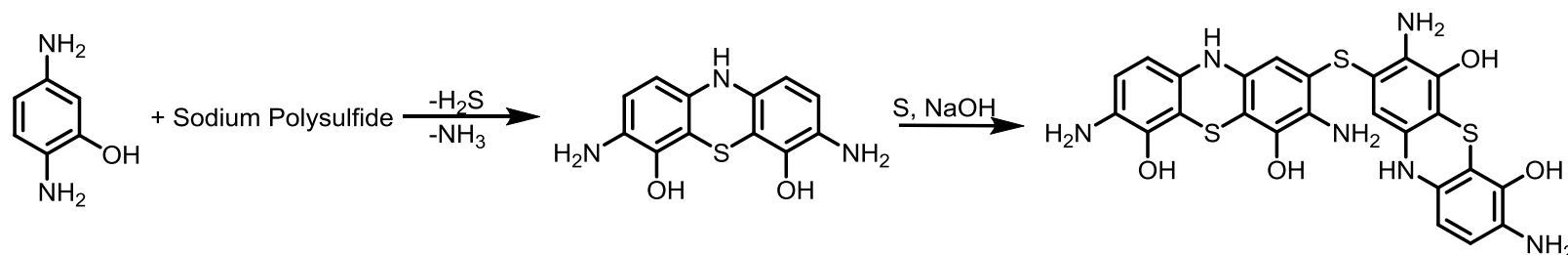
Pereira L, Alves M (2012) Dyes-environmental impact and remediation. In: Malik A, Grohmann E (eds) Environmental protection strategies for sustainable development, strategies for sustainability. Springer, New York

Ferus-Comelo M (2002) Control of the adsorption of dyes on cotton. Ph. D. Thesis, University of Leeds, Leeds, UK

# Sulfur Dyes

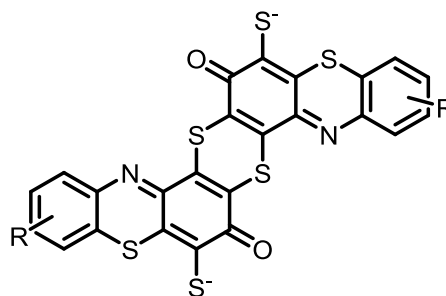


- Almost always used for dyeing cellulosic fibers
- Insoluble in water
  - Reduced to the water-soluble leuco (white/reduced) form
  - Applied using sodium sulfide solution
  - Dye formed via oxidation while impregnated in the fiber

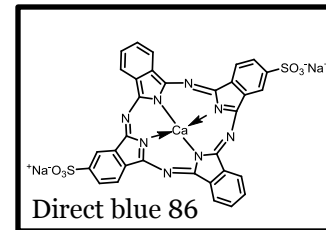


- Often they don't have well defined structures or compositions due to oligomerization & di/poly-sulfide links

Sulfur Black 1

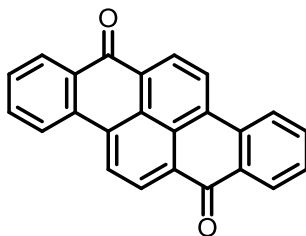


# Vat Dyes

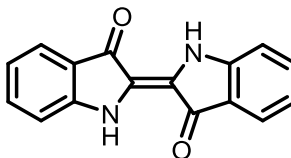


- Water-insoluble pigments
  - Called dyes because in alkaline solution, reduction occurs forming a water-soluble leuco form
- Held to cellulose via van der Waals forces and hydrogen bonding
- Oxidizes on drying to become water-insoluble again leading to high color fastness
- Lack of industry knowledge and basics for application techniques have led to a decrease of usage

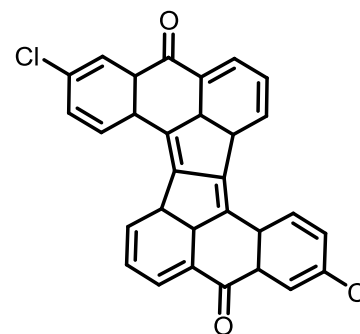
Vat yellow 4



Vat blue 1 /  
Indigo



Vat brown 45



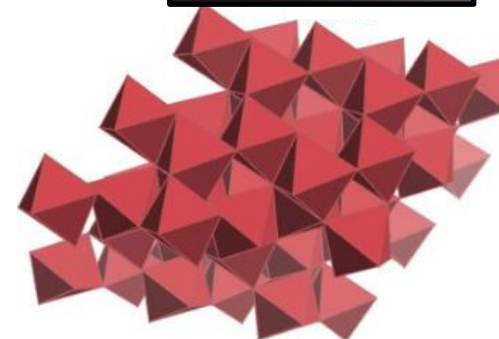
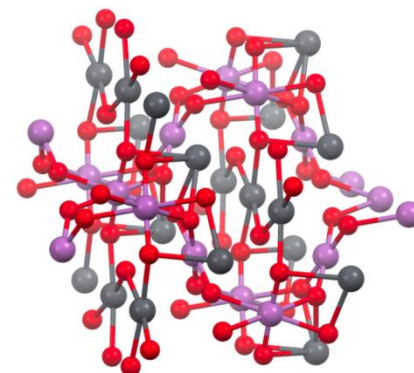
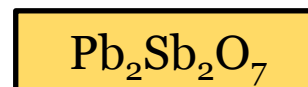
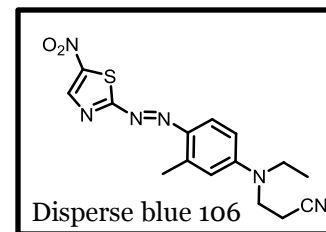
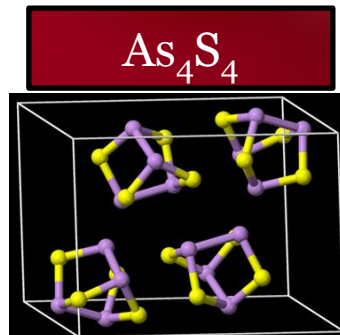


# Inorganic Pigments

- Broken into four categories:

1. White
2. Black
3. Colored
4. Miscellaneous

- Metal effect
  - Flakes/lamella-shaped particles of soft, ductile metals
  - Avoid issues of organic molecules hindering cold welding
- Nacreous
  - Pearlescence due to multiple partial reflections
  - Fish-scales (guanine)
- Transparent
  - Used in protection as a lacquer
  - Blocks UV light with small particles
- Luminescent
  - Solid fine particulates
  - Reemit absorbed energy as light
  - Rely on fluorescence or phosphorescence

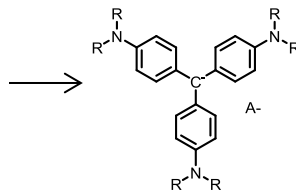


# Organic Pigments

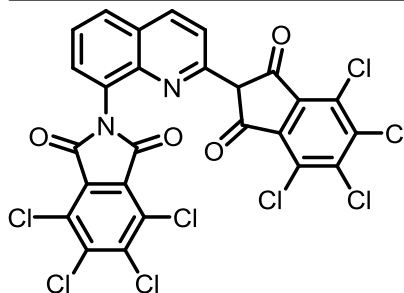
- Based on carbon chains and carbon rings
  - Can have metallic elements for stabilization
  - Must be insoluble at the time of application
  - Have a smaller average particle size than inorganic pigments

- Broken down into six main categories:

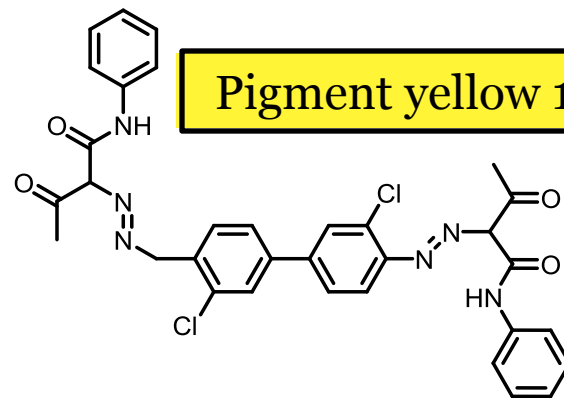
1. Azo
2. Triaryl carbonium
3. Anthraquinone
4. Dioxazine
5. Polycyclic
6. Quinophthalone



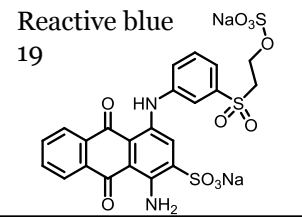
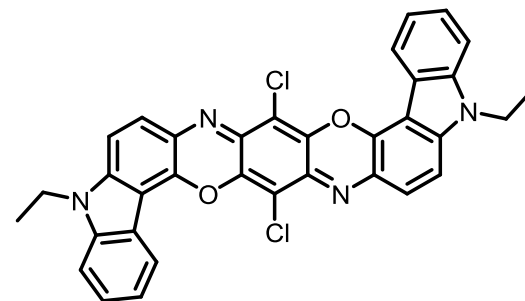
**Pigment yellow 138**



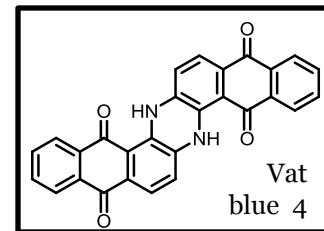
**Pigment yellow 12**



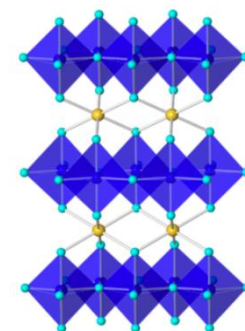
**Pigment violet 23**



# YInMn Blue



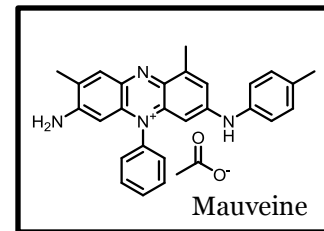
- Discovered accidentally by Andrew Smith and Prof. Mas Subramanian at Oregon State University in 2009
  - Looking for multiferroics
  - Instead formed, at 2,000 °F upon mixing of  $\text{YInO}_3$  and  $\text{YMnO}_3$ , a bright blue compound
- Prof. Subramanian recognized the potential use as a pigment
  - Had worked for DuPont Co.
  - Filed patent disclosure covering the pigment
- Notable features
  - Extremely vibrant, near-perfect blue
  - Extremely stable; does not fade (as does ultramarine/ Prussian blue)
  - Non-toxic (as is cobalt blue)
  - Strong infrared radiation reflection (useful for energy-saving cool coatings)
- Crayola created the “Bluetiful” crayon, replacing Dandelion (2017)
- Being released as an acrylic paint by Matisse
- Can adjust color by changing ratios;  $\text{YIn}_{0.8}\text{Mn}_{0.2}\text{O}_3$ —optimal



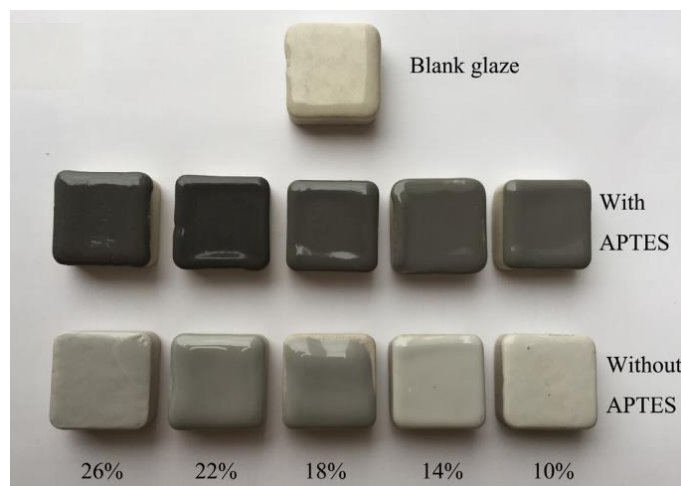
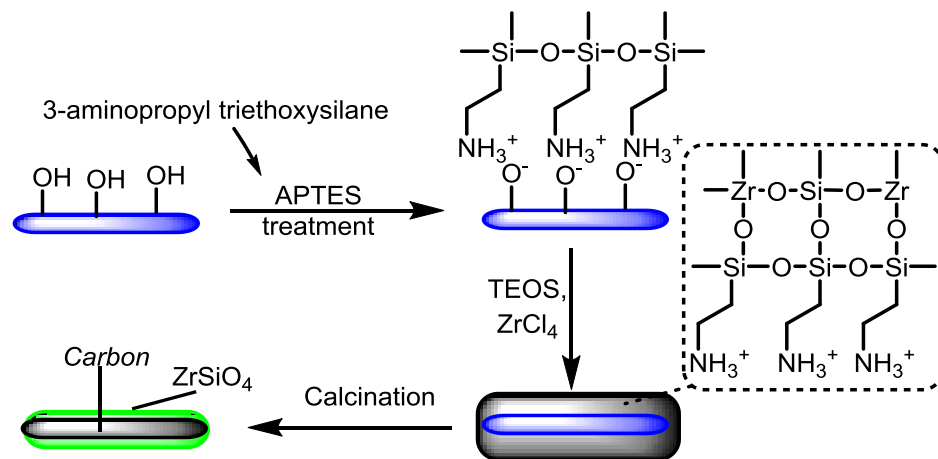
Smith, Andrew E.; Mizoguchi, Hiroshi; Delaney, Kris; Spaldin, Nicola A.; Sleight, Arthur W.; Subramanian, M. A. (2009-12-02). "Mn<sup>3+</sup> in Trigonal Bipyramidal Coordination: A New Blue Chromophore". *Journal of the American Chemical Society*. **131** (47): 17084–17086. doi:[10.1021/ja9080666](https://doi.org/10.1021/ja9080666)

Smith, Andrew E.; Comstock, Matthew C.; Subramanian, M. A. (2016-10-01). "Spectral properties of the UV absorbing and near-IR reflecting blue pigment,  $\text{YIn}_{1-x}\text{Mn}_x\text{O}_3$ ". *Dyes and Pigments*. **133**: 214–221. doi:[10.1016/j.dyepig.2016.05.029](https://doi.org/10.1016/j.dyepig.2016.05.029)

# C@ZrSiO<sub>4</sub>

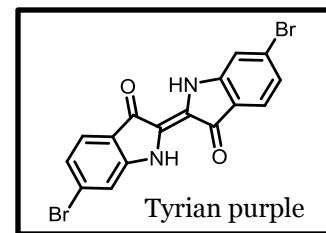


- Published 2016 by Weihui Jiang at Jingdezhen Ceramic Inst.
- A zircon-based black pigment consisting of *in-situ* polycondensation
- After enameling on tiles at 1200 °C, C@ZrSiO<sub>4</sub> pigment appeared a promising candidate for high temp. ceramics
  - Smooth, clean, deep hue
  - High tinting ability
  - Absence of any surfactants

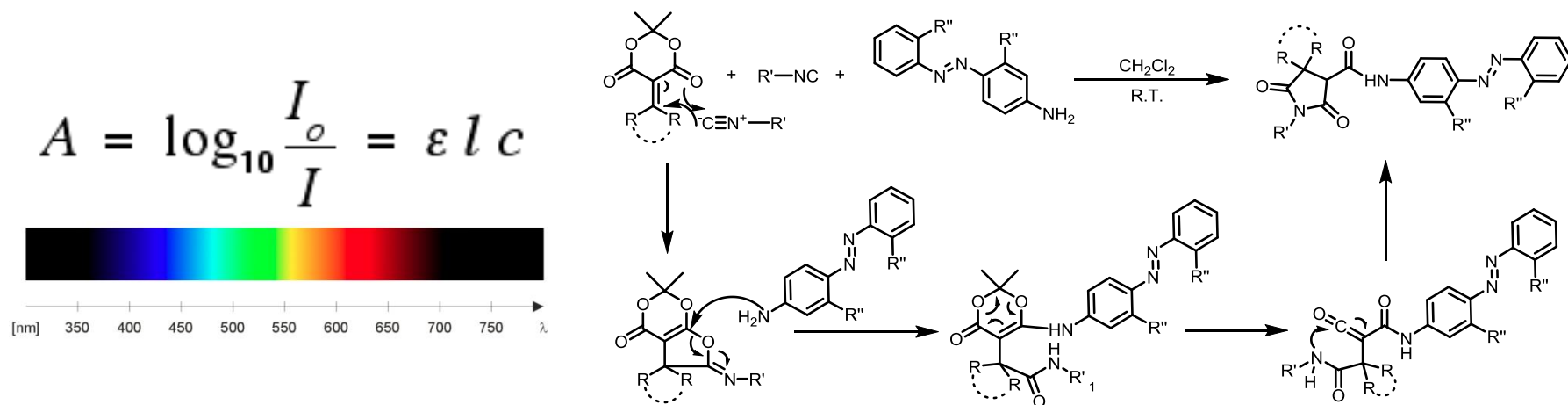


- 30m in air at 1200 °C
- No cracks or holes seen
- Inclusion of pigment has good thermal and chemical stability in the glaze at high temp.

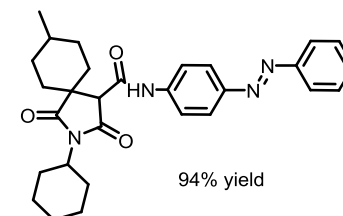
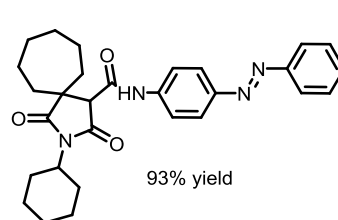
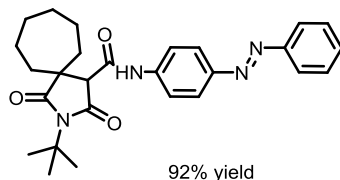
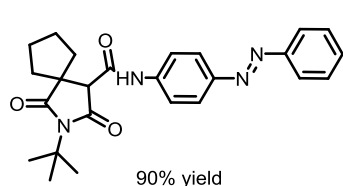
# Synthetic Development of Succinimide Dyes



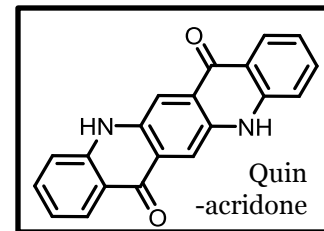
- Published 2014 by Yousef Valizadeh
- Developed a one-pot reaction of *Meldrum's acid*, alkyl isocyanide, and 4-(2-phenyldiazenyl)benzenamine



$\lambda_{\max}(\text{nm})$	348	346.7	344	344
$A$	1.66	0.4	1.35	1.32
$\epsilon$	30,180	40,000	26,470	24,900

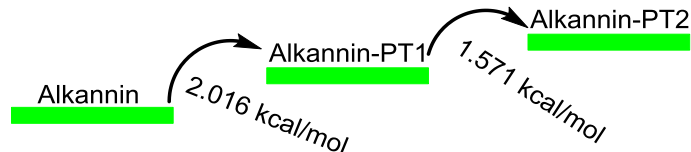
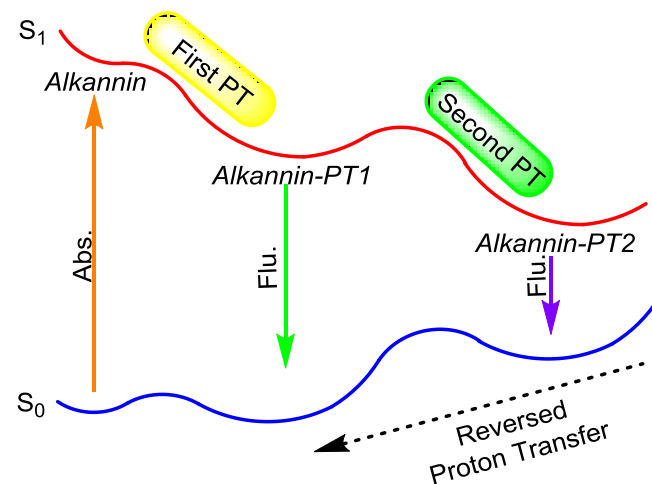
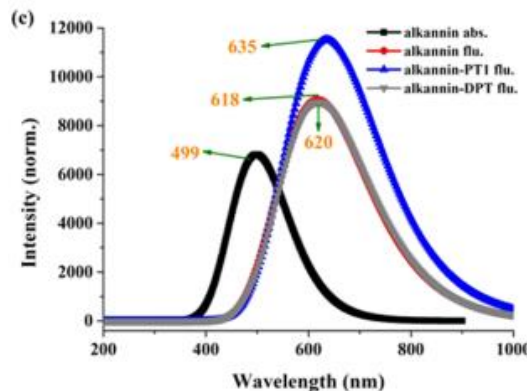
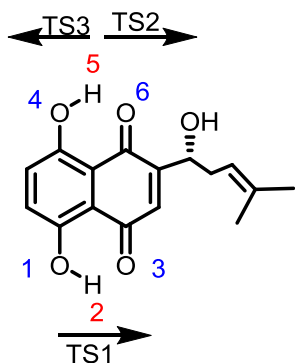
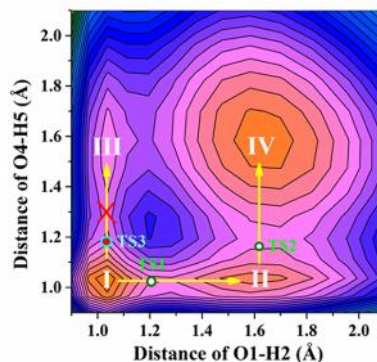
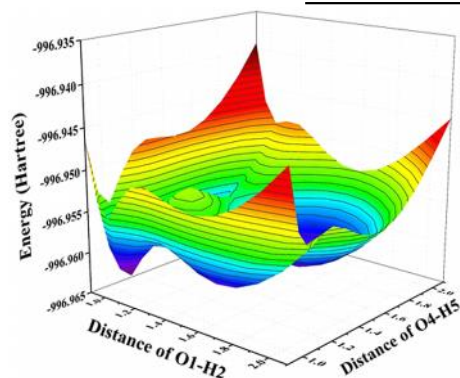


# Theoretical/Computational Studies



- Yujun Zheng's group at Shandong University study the most important component of modern deep red pigments—alkannin
- The group explores the mechanism of the double proton transfer

## Model the energy



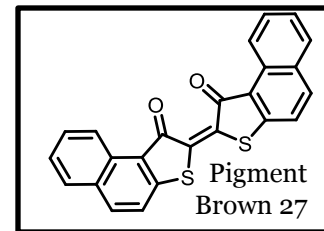
- Confirm importance TS1
- Suggest the stepwise excited state double proton transfer

Alkannin

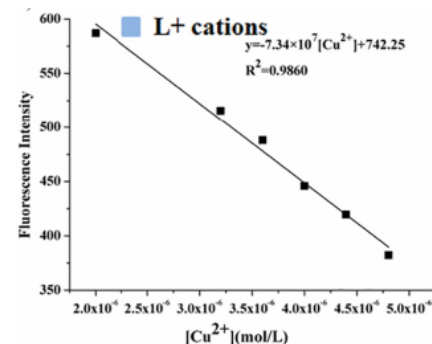
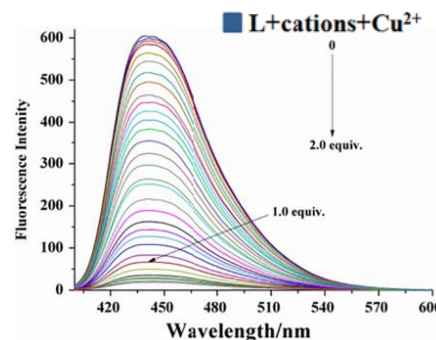
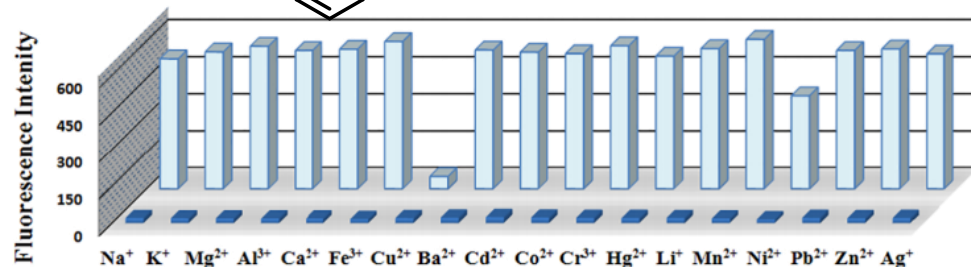
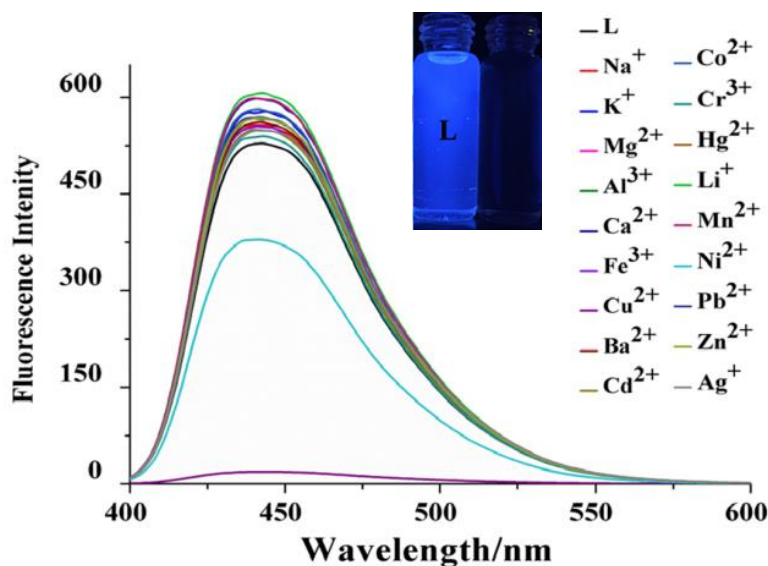
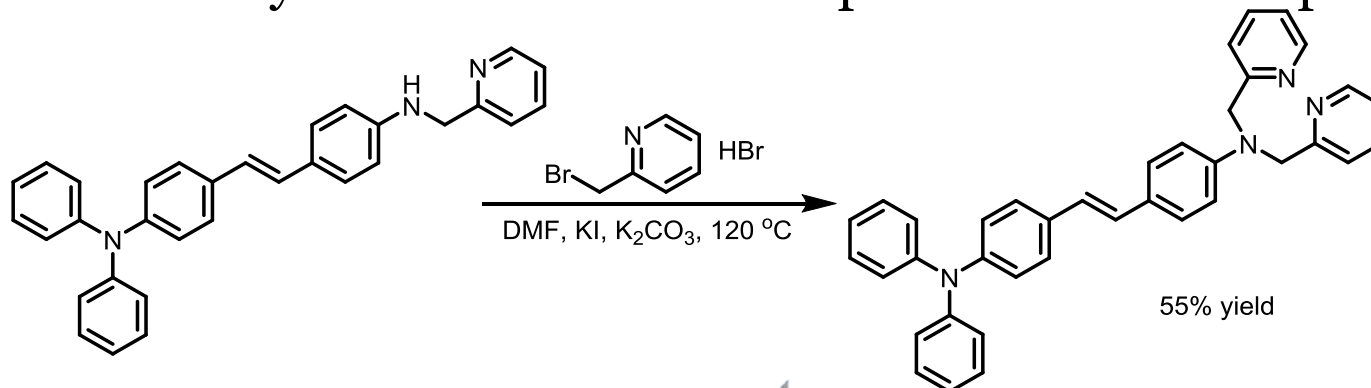
635nm



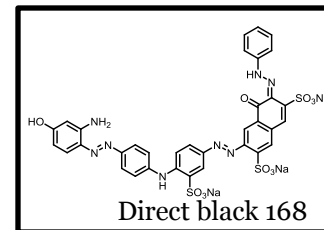
# Development of a New Chelating Dye



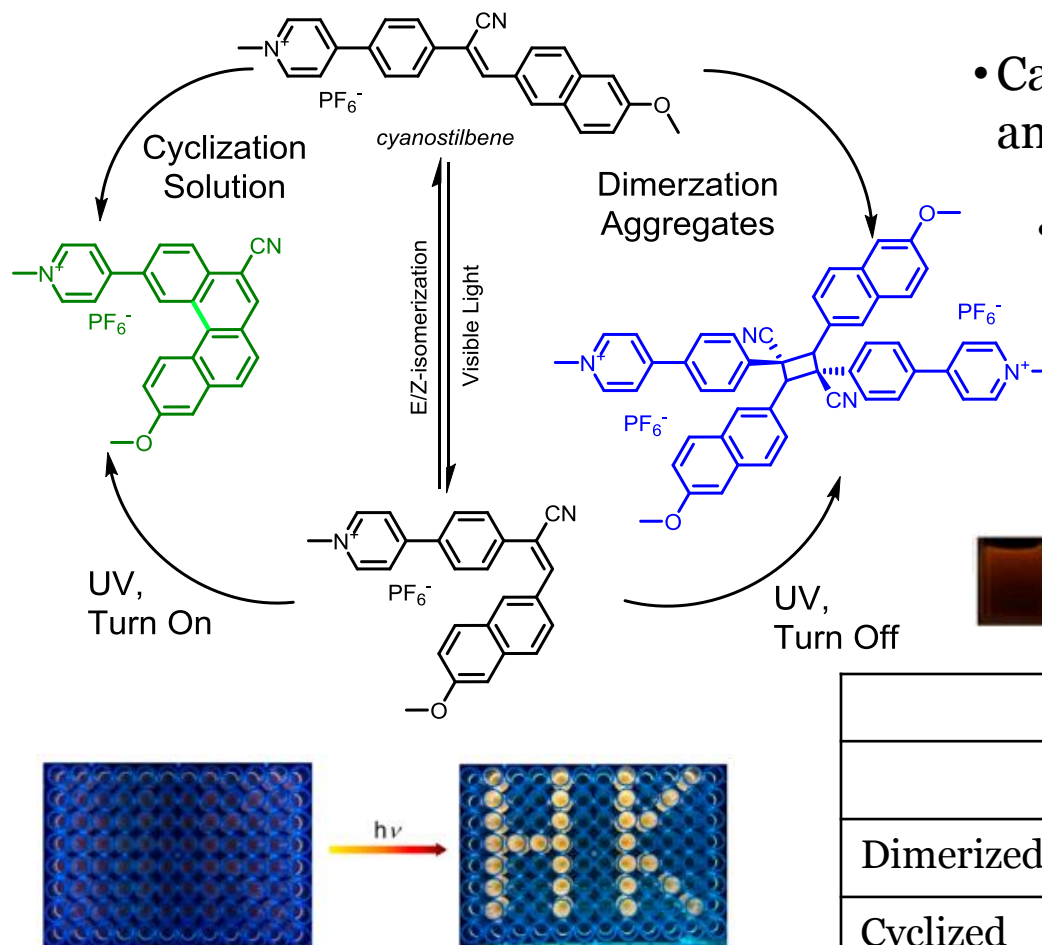
- Hongping Zhou in Anhui University in 2018 developed a copper sensitive dye for use in water samples and *in vivo* experiments



# Photochromic Colorants



- Ben Zhong Tang at HKUST-Shenzhen Research Institute published in 2018 a multiphotochrome molecule



- Can “turn-on” or “turn-off” based on amount of water present in  $\text{CH}_3\text{CN}$

- Could be doped into a polymer matrix to act as microactuators and create a corresponding macroscopic behavior in the material

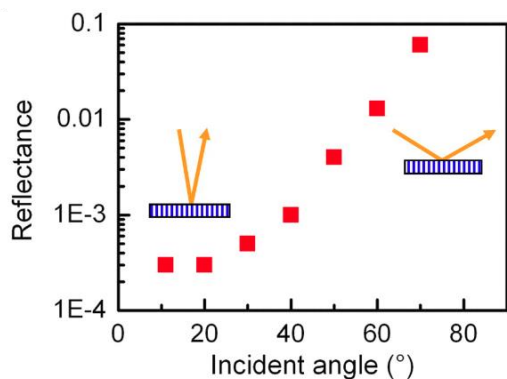
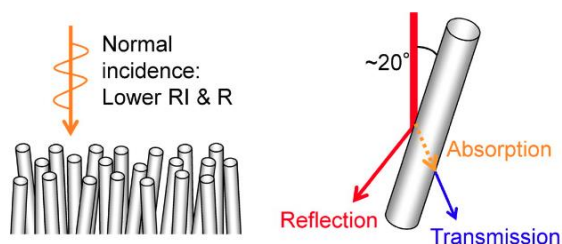
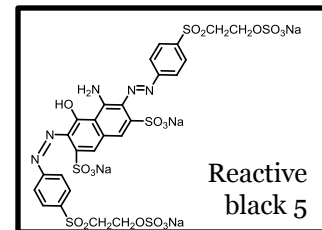


	Fraction of water (vol %)				
	0	50	70	80	99
Dimerized	<1%	<4%	<4%	90%	99%
Cyclized	99%	96%	96%	<10%	<1%

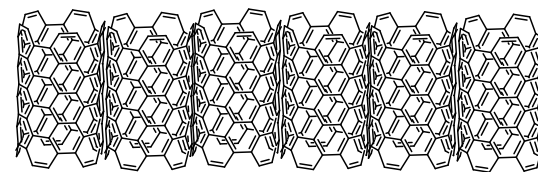
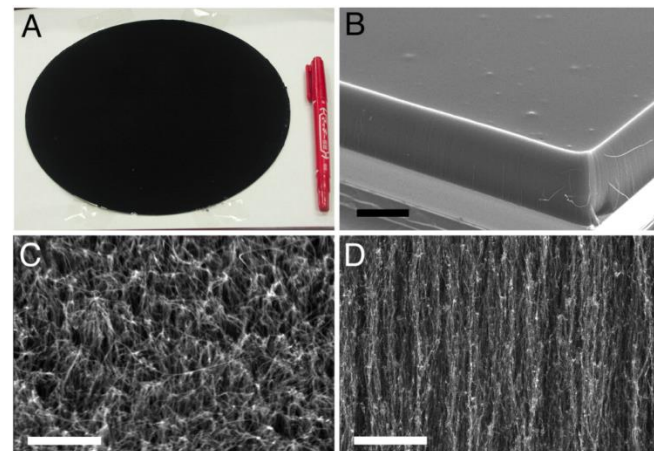


# Vertically Aligned Nanotube Arrays

- Original development by Lehman in the UK for use in thermal detection applications in the infrared
- Spectrally flat over most visible wavelengths
  - Absorbs 99.965% of visible light
  - Vertical nanotubes are grown using chemical vapor deposition
  - Light doesn't reflect out, but gets trapped in the tubes until absorption



## Anish Kapoor Art

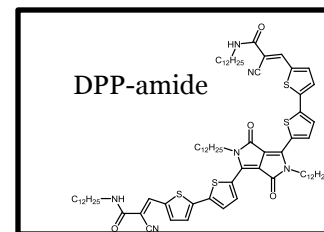


Theocharous, E.; Deshpande, R.; Dillon, A. C.; Lehman, J. "Evaluation of a pyroelectric detector with a carbon multiwalled nanotube black coating in the infrared". *Applied Optics*. 45 (6): 1093. doi:10.1364/AO.45.001093

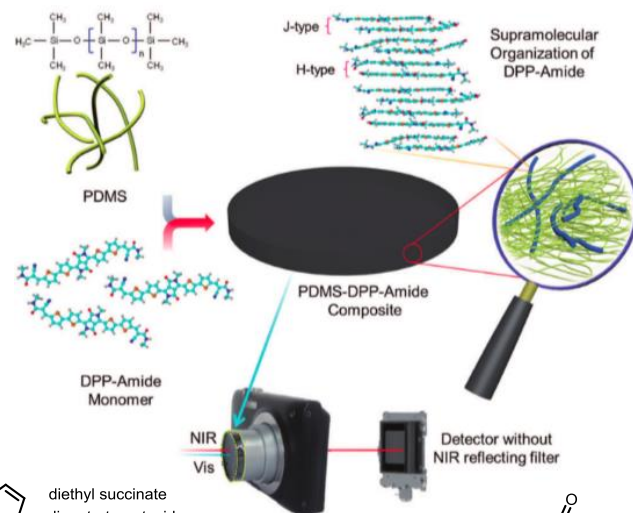
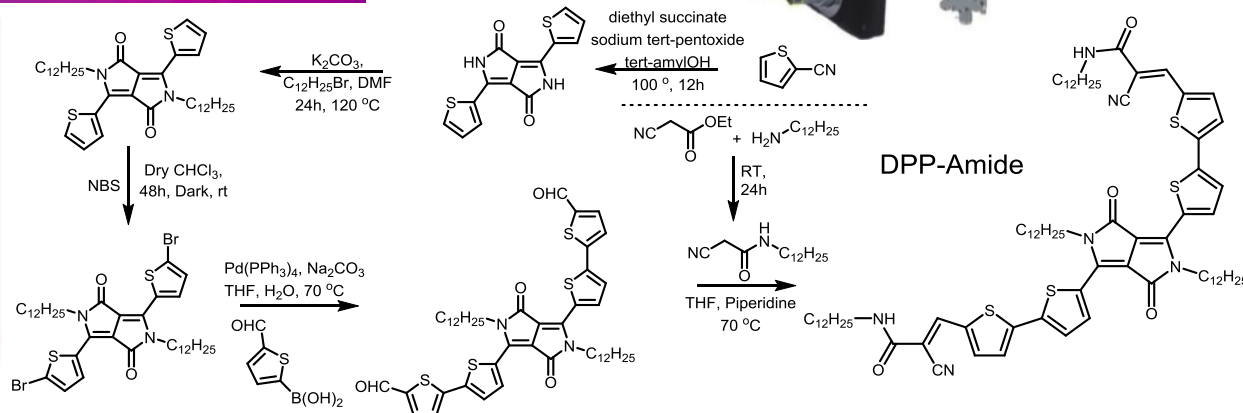
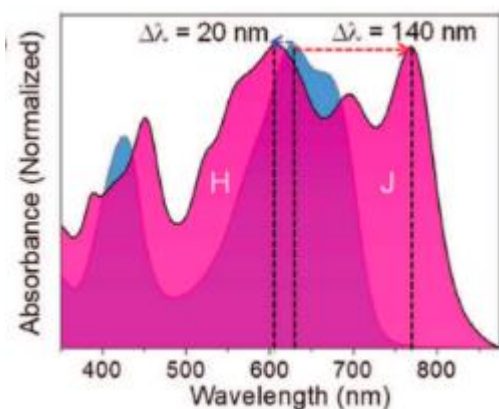
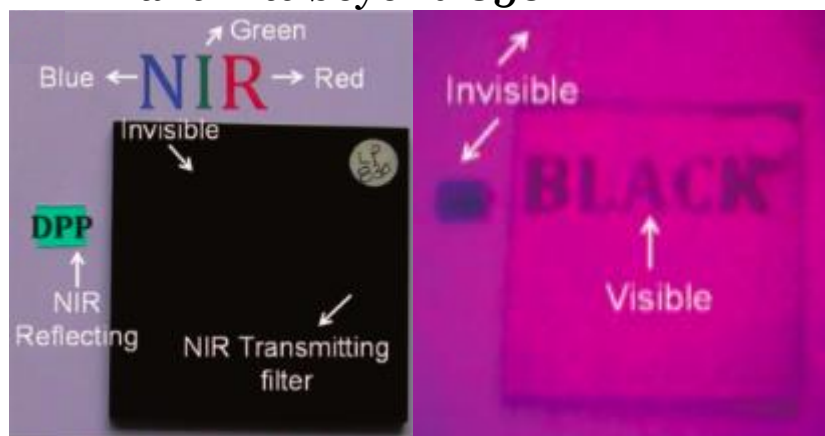
"Vantablack, the world's darkest material, is unveiled by UK". *South China Morning Post - World*. 15 July 2014.

Kohei Mizuno, Juntaro Ishii, Hideo Kishida, Yuhei Hayamizu, Satoshi Yasuda, Don N. Futaba, Motoo Yumura, and Kenji Hata *PNAS* April 14, 2009. 106 (15) 6044-6047; <https://doi.org/10.1073/pnas.0900155106>

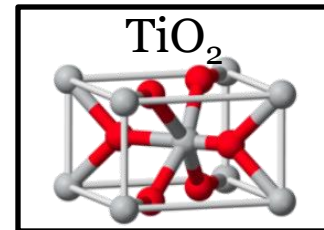
# Near-Infrared-Transmitting Optical Filter



- Developed by Ayyappanpillai in 2017
- Visibly opaque but NIR-transparent materials are important for security systems and night-vision technology
  - DPP-Amide blocks 300-800 nm light by H-bonding and  $\pi$ -stacking
  - Transmits beyond 850



# Industrial Opportunities



## • Typical Education Requirement

- Ph.D. required for most research positions
- Postdoctoral work required for most academic positions
  - Synthetic chemistry
  - Analytical chemistry
  - Organic chemistry
  - Polymer chemistry
  - Material chemistry

## • Laboratories

- Academic
- Industrial
- Government



## • Salaries (2015)

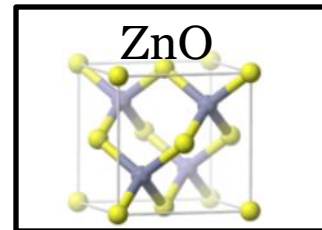
- Lab managers: \$76,000 median
- Pigment chemists: \$65,400 median
- Ink chemists: \$60,200 median
- (note: B.S. chemists earn \$50 to \$80K)

## • Future Employment Trend

- Steady growth in paints & varnishes
  - Accounts for 43% of pigment and 27% of plastic colorant demand
- Niche markets expected to grow
  - Photochromic colorants
  - Medical dyes
  - Infrared dyes for security
  - Hair dyes
- High-tech applications up-and-coming
  - Inkjet microfabrication
  - 3D printing



# Industrial Opportunities



## •Typical work

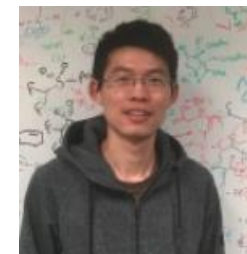
- Develop applications for existing dyes and pigments
- Examine health, environmental, and safety concerns of colorants
- Design, create, and characterize novel products and formulations
- Analyze historical artifacts and artwork for pigments and dyes used
- Work in crime scene analytics determining dyes and pigments in evidence
- Teach courses and train students

## Professional Organizations



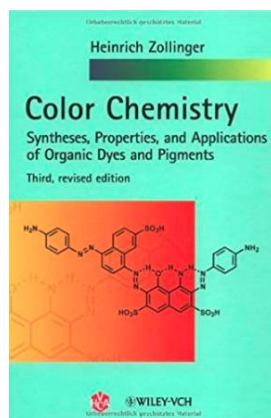


# Thank You for Your Attention!

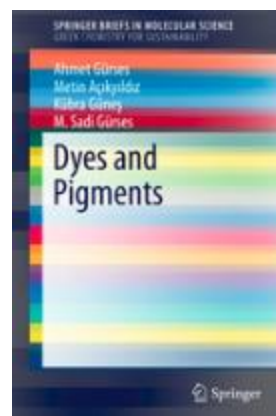


## Great resources

A. Gürses, M. Açıkyıldız, K. Güneş, M. S. Gürses, *Dyes and Pigments*, Springer International Publishing, **2016**.



H. Zollinger, *Color Chemistry: Syntheses, Properties, and Applications of Organic Dyes and Pigments*, VCH, **1991**.



L. R. Milgrom, *The Colours of Life*, Oxford University Press, **1997**.

